

# UNITED STATES DISTRICT COURT

Eastern District of New York

UNITED STATES OF AMERICA

v.

Joaquin Archivaldo Guzman Loera

## JUDGMENT IN A CRIMINAL CASE

Case Number: 09-cr-00466 (S-4) (BMC)

USM Number: 89914-053

Jeffrey H. Lichtman, Esq.

Defendant's Attorney

### THE DEFENDANT:

☐ pleaded guilty to count(s)

☐ pleaded nolo contendere to count(s)  
which was accepted by the court.

☒ was found guilty on count(s) 1 through 10 of the fourth-superseding indictment  
after a plea of not guilty.

The defendant is adjudicated guilty of these offenses:

<u>Title &amp; Section</u>	<u>Nature of Offense</u>	<u>Offense Ended</u>	<u>Count</u>
21 U.S.C. §§848(a),(b)(c)	Continuing Criminal Enterprise	9/1/2014	1 (S-4)
21 U.S.C. §§959(a);	International Cocaine, Heroin, Methamphetamine and marijuana Manufacture and Distribution Conspiracy	9/1/2014	2 (S-4)

The defendant is sentenced as provided in pages 2 through 5 of this judgment. The sentence is imposed pursuant to the Sentencing Reform Act of 1984.

☐ The defendant has been found not guilty on count(s)

☒ Count(s) 2,3,4 & underlying indictments ☐ is ☒ are dismissed on the motion of the United States.\*

Counts 2, 3 & 4 of (S-4) were vacated as lesser-included offenses of Count 1 (S-4), the CCE charge, on the condition that the CCE conviction is upheld.

It is ordered that the defendant must notify the United States attorney for this district within 30 days of any change of name, residence, or mailing address until all fines, restitution, costs, and special assessments imposed by this judgment are fully paid. If ordered to pay restitution, the defendant must notify the court and United States attorney of material changes in economic circumstances.

\* Counts 2, 3 & 4 are dismissed without  
prejudice.

7/17/2019

Date of Imposition of Judgment

Digitally signed by Brian M. Cogan

Signature of Judge

BRIAN M. COGAN

Name and Title of Judge

7/17/2019

Date

DEFENDANT: Joaquin Archivaldo Guzman Loera  
CASE NUMBER: 09-cr-00466 (S-4) (BMC)

### ADDITIONAL COUNTS OF CONVICTION

<u>Title &amp; Section</u>	<u>Nature of Offense</u>	<u>Offense Ended</u>	<u>Count</u>
21 U.S.C. §§952(a);	Cocaine Importation Conspiracy	9/1/2014	3 (S-4)
21 U.S.C. §§952(a);	Cocaine Importation Conspiracy	9/1/2014	4 (S-4)
21 U.S.C. §959(a);	International Distribution of Cocaine	12/1/2008	5 (S-4)
21 U.S.C. §959(a);	International Distribution of Cocaine	9/1/2004	6 (S-4)
21 U.S.C. §959(a);	International Distribution of Cocaine	9/1/2004	7 (S-4)
21 U.S.C. §959(a);	International Distribution of Cocaine	3/1/2004	8 (S-4)
18 U.S.C. §924(c)(1)(A);	Use of Firearms	9/1/2014	9 (S-4)
18 U.S.C. §§ 1956(a)(1);	Conspiracy to Launder Narcotics Proceeds	9/1/2014	10 (S-4)

DEFENDANT: Joaquin Archivaldo Guzman Loera  
CASE NUMBER: 09-cr-00466 (S-4) (BMC)

## IMPRISONMENT

The defendant is hereby committed to the custody of the Federal Bureau of Prisons to be imprisoned for a total term of:

Counts 1, 5, 6, 7 and 8: LIFE to run concurrently.  
Count 9: 30 years to run consecutively to counts 1, 5, 6, 7 and 8.  
Count 10: 240 months to run concurrently to counts 1, 5, 6, 7 and 8.

☒ The court makes the following recommendations to the Bureau of Prisons:

The defendant remain at MCC- NY for sixty days for counsel to discuss appeal.

☐ The defendant is remanded to the custody of the United States Marshal.

☐ The defendant shall surrender to the United States Marshal for this district:

☐ at \_\_\_\_\_ ☐ a.m. ☐ p.m. on \_\_\_\_\_.

☐ as notified by the United States Marshal.

☐ The defendant shall surrender for service of sentence at the institution designated by the Bureau of Prisons:

☐ before 2 p.m. on \_\_\_\_\_.

☐ as notified by the United States Marshal.

☐ as notified by the Probation or Pretrial Services Office.

## RETURN

I have executed this judgment as follows:

Defendant delivered on \_\_\_\_\_ to \_\_\_\_\_  
at \_\_\_\_\_, with a certified copy of this judgment.

\_\_\_\_\_  
UNITED STATES MARSHAL

By \_\_\_\_\_  
DEPUTY UNITED STATES MARSHAL

DEFENDANT: Joaquin Archivaldo Guzman Loera

CASE NUMBER: 09-cr-00466 (S-4) (BMC)

### CRIMINAL MONETARY PENALTIES

The defendant must pay the total criminal monetary penalties under the schedule of payments on Sheet 6.

	<u>Assessment</u>	<u>JVTA Assessment*</u>	<u>Fine</u>	<u>Restitution</u>
<b>TOTALS</b>	\$ 700.00	\$	\$	\$

☒ The determination of restitution is deferred until 10/17/2016. An *Amended Judgment in a Criminal Case (AO 245C)* will be entered after such determination.

☐ The defendant must make restitution (including community restitution) to the following payees in the amount listed below.

If the defendant makes a partial payment, each payee shall receive an approximately proportioned payment, unless specified otherwise in the priority order or percentage payment column below. However, pursuant to 18 U.S.C. § 3664(i), all nonfederal victims must be paid before the United States is paid.

<u>Name of Payee</u>	<u>Total Loss**</u>	<u>Restitution Ordered</u>	<u>Priority or Percentage</u>
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<b>TOTALS</b>	\$	0.00	\$	0.00
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☐ Restitution amount ordered pursuant to plea agreement \$

☐ The defendant must pay interest on restitution and a fine of more than \$2,500, unless the restitution or fine is paid in full before the fifteenth day after the date of the judgment, pursuant to 18 U.S.C. § 3612(f). All of the payment options on Sheet 6 may be subject to penalties for delinquency and default, pursuant to 18 U.S.C. § 3612(g).

☐ The court determined that the defendant does not have the ability to pay interest and it is ordered that:

☐ the interest requirement is waived for the ☐ fine ☐ restitution.

☐ the interest requirement for the ☐ fine ☐ restitution is modified as follows:

\* Justice for Victims of Trafficking Act of 2015, Pub. L. No. 114-22.

\*\* Findings for the total amount of losses are required under Chapters 109A, 110, 110A, and 113A of Title 18 for offenses committed on or after September 13, 1994, but before April 23, 1996.

DEFENDANT: Joaquin Archivaldo Guzman Loera  
CASE NUMBER: 09-cr-00466 (S-4) (BMC)

## SCHEDULE OF PAYMENTS

Having assessed the defendant's ability to pay, payment of the total criminal monetary penalties is due as follows:

- A ☒ Lump sum payment of \$ 700.00 due immediately, balance due
- ☐ not later than \_\_\_\_\_, or  
☐ in accordance with ☐ C, ☐ D, ☐ E, or ☐ F below; or
- B ☐ Payment to begin immediately (may be combined with ☐ C, ☐ D, or ☐ F below); or
- C ☐ Payment in equal \_\_\_\_\_ (e.g., weekly, monthly, quarterly) installments of \$ \_\_\_\_\_ over a period of \_\_\_\_\_ (e.g., months or years), to commence \_\_\_\_\_ (e.g., 30 or 60 days) after the date of this judgment; or
- D ☐ Payment in equal \_\_\_\_\_ (e.g., weekly, monthly, quarterly) installments of \$ \_\_\_\_\_ over a period of \_\_\_\_\_ (e.g., months or years), to commence \_\_\_\_\_ (e.g., 30 or 60 days) after release from imprisonment to a term of supervision; or
- E ☐ Payment during the term of supervised release will commence within \_\_\_\_\_ (e.g., 30 or 60 days) after release from imprisonment. The court will set the payment plan based on an assessment of the defendant's ability to pay at that time; or
- F ☐ Special instructions regarding the payment of criminal monetary penalties:

Unless the court has expressly ordered otherwise, if this judgment imposes imprisonment, payment of criminal monetary penalties is due during the period of imprisonment. All criminal monetary penalties, except those payments made through the Federal Bureau of Prisons' Inmate Financial Responsibility Program, are made to the clerk of the court.

The defendant shall receive credit for all payments previously made toward any criminal monetary penalties imposed.

☐ Joint and Several

Defendant and Co-Defendant Names and Case Numbers (including defendant number), Total Amount, Joint and Several Amount, and corresponding payee, if appropriate.

- ☐ The defendant shall pay the cost of prosecution.
- ☐ The defendant shall pay the following court cost(s):
- ☒ The defendant shall forfeit the defendant's interest in the following property to the United States:  
Forfeiture in the amount of \$12,666,191,704.00 approved and annexed to judgment.

Payments shall be applied in the following order: (1) assessment, (2) restitution principal, (3) restitution interest, (4) fine principal, (5) fine interest, (6) community restitution, (7) JVT A assessment, (8) penalties, and (9) costs, including cost of prosecution and court costs.